

TRANSFER AUTHORIZATION FOR REGISTERED INVESTMENTS

1. Client identification				
Accountholder last name		First name		
Address (number, street)		City	Province	Postal code
Social Insurance Number		Home telephone	Business telephone	
2. Receiving institution information				
Empire Life Investments Inc. 259 King Street East, Kingston ON K7L 3A8 Telephone: 855 823-6883 Fax: 866 970-0135				
Dealer name		Dealer number	Dealer account number	
Advisor name			Advisor number	
Account number	Registered type: (check one) <input type="radio"/> RRSP <input type="radio"/> Spousal RRSP <input type="radio"/> LRSP <input type="radio"/> LIRA <input type="radio"/> TFSA <input type="radio"/> RRIF <input type="radio"/> Spousal RRIF <input type="radio"/> LRIF <input type="radio"/> PRIF <input type="radio"/> LIF			
Investment Instructions				
Fund name	Fund code	FE commission %	Wire order number	Amount <input type="radio"/> \$ or <input type="radio"/> %
Locked-in Confirmation				
Empire Life Investments Inc., as agent for Citi Trust Company Canada, agrees to administer all locked-in funds transferred under this transfer authorization in accordance with the governing pension legislation indicated in Section 5 below. Any subsequent transfer of these locked-in funds to another Trustee or financial institution will be made only to another registered plan, which must continue to be administered in accordance with legislation of the jurisdiction noted in Section 5 below. No transfer of locked-in funds will be permitted unless the receiving plan is appropriately registered and in compliance with the applicable pension legislation, regulations and the <i>Income Tax Act (Canada)</i> .				Authorized Signature
3. Client direction to relinquishing institution				
Relinquishing institution name			Account/contract number	
Address (number, street)		City	Province	Postal code
Transfer (select one) <input type="radio"/> All in cash <input type="radio"/> All in kind <input type="radio"/> All assets*, mixed in cash and in kind as listed below <input type="radio"/> Partial as listed below <i>*Please refer to the statement in bold in the Client Authorization section below.</i>				
<input type="radio"/> In cash <input type="radio"/> Shares/units <input type="radio"/> In kind <input type="radio"/> Dollars	Amount	Investment description		
<input type="radio"/> In cash <input type="radio"/> Shares/units <input type="radio"/> In kind <input type="radio"/> Dollars	Amount	Investment description		
4. Client authorization				
I authorize the transfer of all or part of my account and investments as indicated above. *Where I have requested a transfer in cash, I authorize the liquidation of all or part of my investments and agree to pay any applicable fees, charges or adjustments.				
Signature of Accountholder X				Date
(For locked-in plans) Spouse: I consent to the transfer of the locked-in account.				
Signature of Spouse (if applicable) X				Date
5. For use by relinquishing institution only				
Registered type: <input type="radio"/> RRSP <input type="radio"/> LRSP <input type="radio"/> LIRA <input type="radio"/> LRIF <input type="radio"/> PRIF <input type="radio"/> RLIF <input type="radio"/> RLSP <input type="radio"/> TFSA <input type="radio"/> LIF <input type="radio"/> RRIF — <input type="radio"/> Qualifying <input type="radio"/> Non-Qualifying				
Spousal plan <input type="radio"/> yes <input type="radio"/> no	Contributor name (first, middle, last)		Social Insurance Number	
Locked-in <input type="radio"/> yes <input type="radio"/> no	Governing legislation		Locked-in funds \$	
Authorized signature X		Date (dd/mm/yyyy)	Contact information	

SEE REVERSE FOR INSTRUCTIONS

INSTRUCTIONS FOR COMPLETION

This form can be used for transferring an RRSP, LIRA, LRSP, RRIF, LRIF, LIF, PRIF or TFSA, except 1) RRIF to RRSP transfers, 2) RRIF or RRSP to TFSA transfers, 3) TFSA to RRIF or RRSP transfers, 4) Transfers involving marital breakdown, 5) Transfers due to death.

Who completes this form?

Accountholder Requesting the Transfer:

- The Accountholder must complete the “Client Identification” section and complete and sign the “Client Direction to Relinquishing Institution” section.
- The Accountholder should retain a copy of this form for their records.

Advisor:

- The Advisor should complete the “Receiving Institution Information” section with the Accountholder requesting the transfer and provide either Dealer/Advisor or Empire Life Investments Inc. mailing address as applicable.
- A copy of this form is to remain with the client.
- Forward the signed form to the Relinquishing Institution. The Relinquishing Institution will return a copy to Empire Life Investments Inc. upon completion of the transfer.

Relinquishing Institution:

- The Relinquishing Institution must complete all the applicable information in the “For Use by Relinquishing Institution Only” section.
- For transfers from RRSP or RRIF products using this form, no income tax receipt will be issued by the Receiving Institution for the amount transferred and no T4RSP or T4RIF tax slip should be issued by you.
- Retain a copy of this form for your records and return a copy to Empire Life Investments Inc..

Fund Names	Sales Charge Options	Fund Codes				
		Series A	Series F	T6 Series	T8 Series	Series I
Empire Life Emblem Portfolios™						
Empire Life Emblem Diversified Income Portfolio	FE	ELM8001	ELM8003	ELM8006	N/A	ELM8000
	LL	ELM8031	N/A	ELM8036	N/A	N/A
	DSC	ELM8071	N/A	ELM8076	N/A	N/A
Empire Life Emblem Conservative Portfolio	FE	ELM8101	ELM8103	ELM8106	N/A	ELM8100
	LL	ELM8131	N/A	ELM8136	N/A	N/A
	DSC	ELM8171	N/A	ELM8176	N/A	N/A
Empire Life Emblem Balanced Portfolio	FE	ELM8301	ELM8303	ELM8306	ELM8308	ELM8300
	LL	ELM8331	N/A	ELM8336	ELM8338	N/A
	DSC	ELM8371	N/A	ELM8376	ELM8378	N/A
Empire Life Emblem Moderate Growth Portfolio	FE	ELM8501	ELM8503	ELM8506	ELM8508	ELM8500
	LL	ELM8531	N/A	ELM8536	ELM8538	N/A
	DSC	ELM8571	N/A	ELM8576	ELM8578	N/A
Empire Life Emblem Growth Portfolio	FE	ELM8701	ELM8703	ELM8706	ELM8708	ELM8700
	LL	ELM8731	N/A	ELM8736	ELM8738	N/A
	DSC	ELM8771	N/A	ELM8776	ELM8778	N/A
Empire Life Emblem Aggressive Growth Portfolio	FE	ELM8901	ELM8903	ELM8906	ELM8908	ELM8900
	LL	ELM8931	N/A	ELM8936	ELM8938	N/A
	DSC	ELM8971	N/A	ELM8976	ELM8978	N/A
Empire Life Mutual Funds						
Empire Life Money Market Mutual Fund	FE	ELM101	N/A	N/A	N/A	ELM100
	LL	ELM131	N/A	N/A	N/A	N/A
	DSC	ELM171	N/A	N/A	N/A	N/A
Empire Life Monthly Income Mutual Fund	FE	ELM301	ELM303	ELM306	ELM308	ELM300
	LL	ELM331	N/A	ELM336	ELM338	N/A
	DSC	ELM371	N/A	ELM376	ELM378	N/A
Empire Life Dividend Growth Mutual Fund	FE	ELM501	ELM503	ELM506	ELM508	ELM500
	LL	ELM531	N/A	ELM536	ELM538	N/A
	DSC	ELM571	N/A	ELM576	ELM578	N/A
Empire Life Canadian Equity Mutual Fund	FE	ELM701	N	ELM706	ELM708	ELM700
	LL	ELM731	N/A	ELM736	ELM738	N/A
	DSC	ELM771	N/A	ELM776	ELM778	N/A
Empire Life Small Cap Equity Mutual Fund	FE	ELM901	N/A	ELM906	ELM908	ELM900
	LL	ELM931	N/A	ELM936	ELM938	N/A
	DSC	ELM971	N/A	ELM976	ELM978	N/A

FE = Front End or Initial Sales Charge LL = Low Load DSC = Deferred Sales Charge