

Advisor Relationship

Do you currently have one primary advisor with whom you work?

How satisfied are you with the relationship and the service provided?

Ranking: Poor 1 2 3 4 5 Excellent

Explain

What, if anything, could be improved in terms of the relationship and service?

Is your advisor providing you with a financial plan or simply products?

If your advisor is providing you with a plan, how comprehensive is it? Does it include retirement income planning and estate planning?

Does your advisor show you how to proceed with your plan?

How often is the plan stressed tested to make sure that your plans and the solutions and strategies used continue to do the job they were intended to do when they were first set up?

Which assets/areas do your other advisors handle?

Advisor

Advisor

Advisor

Advisor

What is your past experience with advisors?

What expectations do you have in working with an advisor?

What level of communication would you like to have from your advisor?

- ☐ Face-to-face Meetings
 - ☐ Account Statement
 - ☐ Telephone Contact
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How comfortable are you receiving information and contact electronically, like email or through internet connections?

Are you receiving the level of communication you need and want now?

Describe how you see your relationship with a primary advisor developing over the next 5 - 10 years.

Advisor notes, comments and recommendations